LLM-Planner: Few-Shot Grounded Planning for Embodied Agents with Large Language Models

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Abstract

In this work, we propose a novel method, LLM-Planner, that harnesses the power of large language models to do few-shot planning for embodied agents. We further propose a simple but effective way to enhance LLMs with physical grounding to generate and update plans that are grounded in the current environment. Experiments on the ALFRED dataset show that our method can achieve very competitive few-shot performance: Despite using less than 0.5% of paired training data, LLM-Planner achieves competitive performance with recent baselines that are trained using the full training data. Existing methods can barely complete any task successfully under the same few-shot setting. Our work opens the door for developing versatile and sampleefficient embodied agents that can quickly learn many tasks.

1. Introduction

Contemporary language-driven agents still require a large number of labeled examples (pairs of language instructions and gold trajectories) to learn each task, which is highly costly and hinders the development of truly versatile agents [2, 6, 7, 10, 11, 14, 16, 17, 19, 22, 24]. Recently, an array of seminal work has shown the remarkable potential of large language models (LLMs) such as GPT-3 [4] as a fewshot planner for embodied AI agents [1, 8, 12, 20]. Agents equipped with LLM-based planners have started to show the ability to learn a new task with a few training examples.

While showing great promises as proof of concepts, existing work still presents significant limitations that may prevent larger-scale applications beyond their limited evaluation setting. As an example, SayCan [1], one of the pioneering work on using LLMs for embodied instruction following, is evaluated on two environments with only 15 object types. The agent is assumed to be able to enumerate all admissible skills (*i.e.*, [action, object] pairs) up front so it can use an LLM to rank the skills. This as-



Figure 1. A conceptual illustration of LLM-Planner.

sumption could break easily in partially-observable environments when deploying an agent to new environments. The cost could also quickly pile up in more complex environments with more objects because the agent needs to call the LLM to evaluate every admissible skill at every step; efficiency deteriorates at the same time. Finally, most existing work [1,8,13,20] uses LLMs to generate a single static plan from the language instruction and then executes on the entire plan. However, the optimal plan for the same language instruction is dependent on the environment; different environments may need different plans (Figure 1).

We propose *LLM-Planner*, an LLM-based planner for embodied instruction following. An important design goal is to be able to directly generate plans in diverse, partially-observable environments, and can dynamically replan based on perceptions from the environment. While most existing work [1, 8, 9, 13, 20] is evaluated under a limited setting (*e.g.*, limited/known environments, shorthorizon tasks, or simple environments with a small number of objects), we evaluate LLM-Planner on ALFRED [19], a large-scale dataset with diverse partially-observable environments and a wide variety of tasks and objects. Using less than 0.5% of paired training data, LLM-Planner achieves competitive performance compared with HLSM [3] and outperforms multiple other baselines, which are trained

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with the full training set. Under the same few-shot setting, existing methods can barely complete any task successfully.

2. LLM-Planner

We adopt hierarchical planning models (e.g., [18, 23]), which consist of a *high-level planner* and a *low-level planner*. We use LLMs to generate high-level plans (HLPs), *i.e.*, a sequence of subgoals (*e.g.*, [Navigation potato, Pickup potato, Navigation microwave, ...]) that the agent needs to achieve, in the specified order, to accomplish the final goal specified by the language instruction. The low-level planner then maps each subgoal into a sequence of primitive actions for achieving that subgoal in the current environment and state.

To adapt LLMs such as GPT-3 as high-level planners, the first step is to design an appropriate prompt to guide them to generate high-level plans. We identify core components of the prompt and systemically compare different design choices under the true few-shot setting based on leave-one-out cross-validation (LOOCV). The prompt begins with an intuitive explanation of the task and the list of allowable high-level actions. It is then followed by the in-context examples which are the most similar samples in training dataset to the current test example, selected by the k-nearest-neighbor (kNN) retriever. With all the above designs, we have obtained the *static* version of LLM-Planner, which can already generate reasonable HLPs.

Furthermore, we equip LLM-Planner with a grounded re-planning capability to dynamically update the HLP during the course of completing a task. This is in contrast with most existing work that only predicts a fixed HLP up front and sticks to that no matter what happens during the execution. To this end, we add the subgoals that have been completed and the list of objects observed by object detector so far in the prompt. We also add logit biases to these observed objects so LLM-Planner can prioritize producing a plan with those objects if they are relevant for the task. We trigger re-planning under either of two conditions: 1) the agent fails to execute an action, or 2) after a fixed number of time steps.

3. Experiments and Results

We use the same evaluation setup and metrics provided by ALFRED [19]. For the low-level controller, we use the HLSM [3]'s low-level controller. We also implement Say-Can [1] in ALFRED to compare with our method. To make it possible for SayCan to work in the complex, partiallyobservable environments in ALFRED, we give it an *unfair competitive advantage*—it knows all the objects and affordances in the current environment *a priori* to compile the list of skills. The main results with comparison to other methods on ALFRED [19] are shown in Table 1. We find that LLM-Planner's few-shot performance is competitive to

Model	Test Unseen		Valid Unseen	
	SR	GC	SR	GC
Full-data setting: 21,023 (instruction, trajectory) pairs				
Goal instruction only				
HLSM [3]	20.27	27.24	18.28	31.24
Step-by-step instructions				
M-TRACK [21] FILM [15]	16.29 27.80	22.60 38.52	17.29	28.98
Few-shot setting: 100 (instruction, high-level plan) pairs				
Goal instruction only				
LLM-Planner (Static) + HLSM LLM-Planner + HLSM	11.58 13.41	18.47 22.89	11.10 12.92	22.44 25.35
Step-by-step instructions				
HLSM [3] FILM [15] SayCan [1] LLM-Planner (Static) + HLSM LLM-Planner + HLSM	0.61 0.20 15.83 16.42	3.72 6.71 20.99 23.37	0.00 0.00 9.88 14.26 15.36	1.86 9.65 22.54 26.12 29.88

Table 1. Main results on the ALFRED dataset. "(Static)" means the static planning setting, otherwise it is the default dynamic setting with grounded re-planning. SR: success rate, GC: goal-condition success rate. Both metrics are from ALFRED [19].

the original HLSM, and outperforms a recent baseline such as M-TRACK, despite using less than 0.5% of paired training data. On the other hand, when trained using the same 100 examples (*i.e.*, re-training HLSM's high-level planner), HLSM (and FILM as well) can barely complete any task successfully. Furthermore, the results show that SayCan still largely underperforms LLM-Planner despite the access to the full environment information. Another significant difference is cost and efficiency. Because of SayCan's ranking nature, it needs to call the LLM many more times than a generative model like LLM-Planner: LLM-Planner calls GPT-3 avg. 7 times per task and SayCan calls it 22 times, even with oracle knowledge of the current environment to shrink the skill list. Lastly, we see a considerable improvement from grounded re-planning over static planning, especially in the goal instruction only setting, where it improves 1.83% SR in the unseen test split. This confirms the effectiveness of the grounded re-planning. But we also note that there is still a large room for further improvement.

4. Conclusion and Future Work

Our work can dramatically reduce the amount of human annotations needed for learning the instruction following task. Furthermore, it opens a new door for developing versatile and extremely sample-efficient embodied agents by harnessing the power of large language models and enhancing them with physical grounding. Promising future directions include exploring other LLMs such as PaLM [5], better prompt design, and more advanced methods for grounding and dynamic re-planning.

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